



PTO/SB/21 (09-04) (AW 10/2004)

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2137

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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/017,965 |
| | Filing Date | December 7, 2001 |
| | First Named Inventor | Mitsuaki Oshima et al. |
| | Art Unit | 2137 |
| | Examiner Name | Paul E. Callahan |
| | Attorney Docket No. | MTS-520US4 |
| Total Number of Pages in This Submission: 5 + 2 References + 1 Japanese Office Action | | |

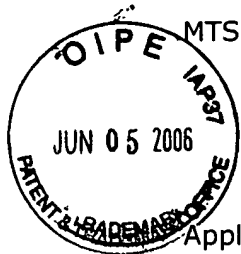
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| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | | | |
|---|-------------------|------------------|--------|
| Firm Name | Rather Prestia | | |
| Signature | | | |
| Printed Name | Jack J. Jankovitz | | |
| Date | May 31, 2006 | Registration No. | 42,690 |

| CERTIFICATE OF TRANSMISSION / MAILING | | | |
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| Signature | | | |
| Typed or Printed Name | Deborah Spratt | Date | May 31, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MTS-520US4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/017,965
Applicant: Mitsuaki OSHIMA, et al.
Filed: December 7, 2001
Title: MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK
ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DISK
AND METHOD OF PRODUCING OPTICAL DISK
TC/A.U.: 2137
Examiner: Paul E. Callahan
Confirmation: 8891
Docket No. MTS-520US4

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of each of the references listed on the PTO/SB/08a and PTO/SB/08b is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 06-203412
- 2) JP 60-175254

References 1) to 2) include English language abstracts.

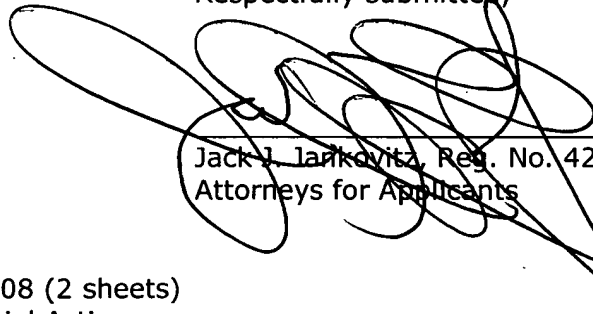
Reference 2) includes a partial English translation.

References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Japanese Official Action indicating the degree of relevance determined by the foreign patent office, are submitted herewith. MPEP 609 IIIA(3), second paragraph.

Reference JP 02-056750 was previously cited in a Supplemental Information Disclosure Statement dated February 19, 2003.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of an RCE or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Jack J. Jankovitz, Reg. No. 42,690
Attorneys for Applicants

JJJ/ds

Enclosures: PTO Form SB/08 (2 sheets)
Japanese Official Action
(2) References
Transmittal Form

Dated: May 31, 2006

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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May 31, 2006

Deborah Spratt



ds/27252

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

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| Application Number | 10/017,965 |
| Filing Date | December 7, 2001 |
| First Named Inventor | Mitsuaki Oshima et al. |
| Art Unit | 2137 |
| Examiner Name | Paul E. Callahan |
| Attorney Docket No. | MTS-520US4 |

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
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SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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